

FIG. 1

Client Module
122

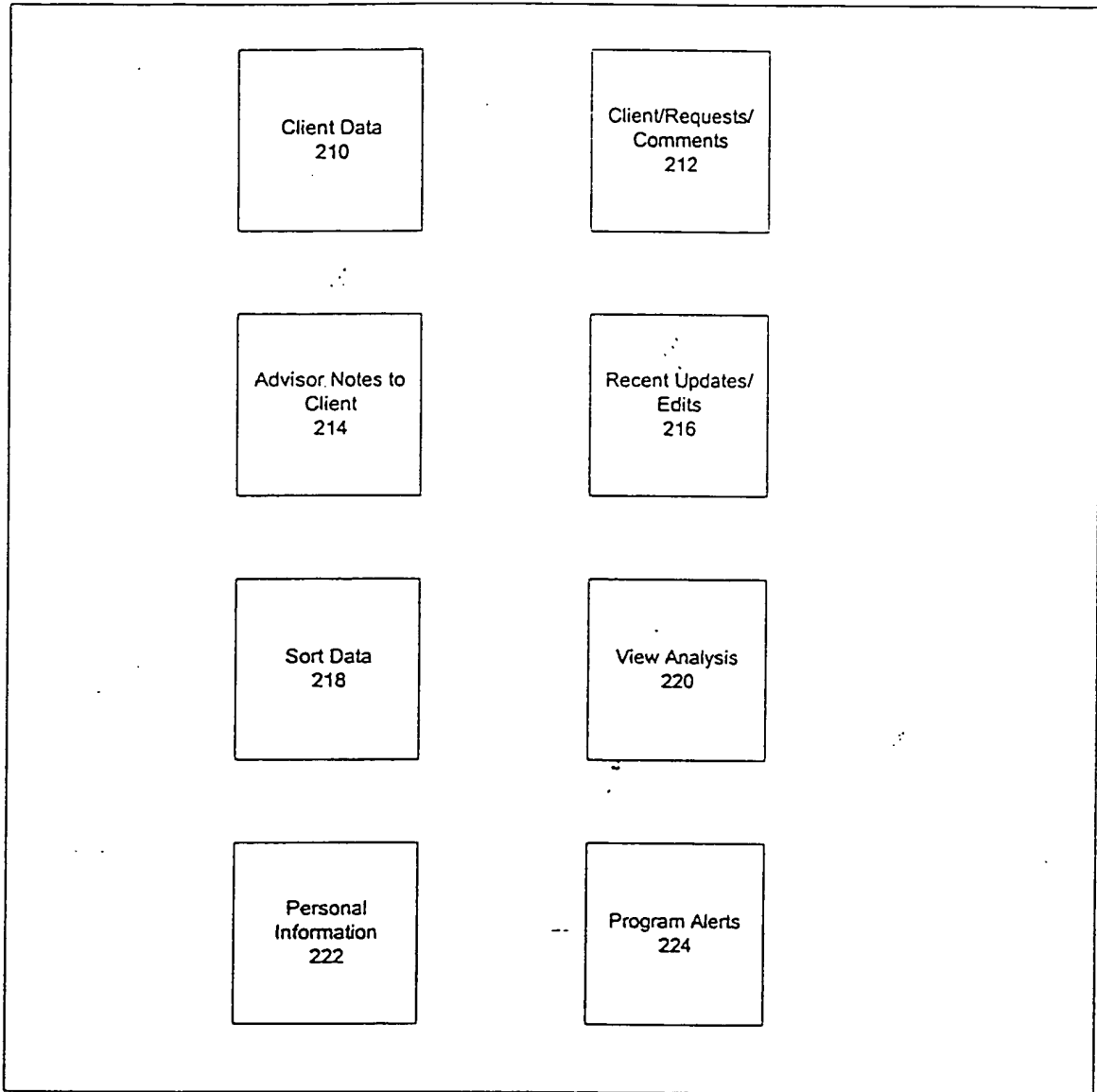


FIG. 2

**Advisor Module
124**

```
graph TD; A[Select Client 310] --- B[Select Activity 312]; A --- C[Results of Action 314]; B --- D[Update Client History 316]; C --- D;
```

Select Client 310	Select Activity 312
Results of Action 314	Update Client History 316

॥ ॐ नमो भगवते वासुदेवाय ॥

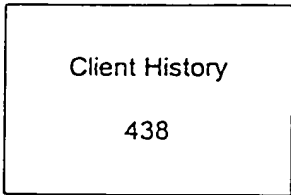
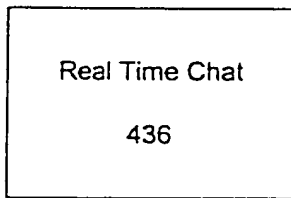
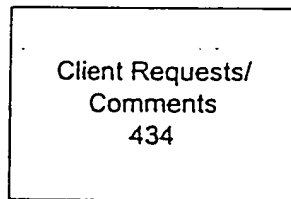
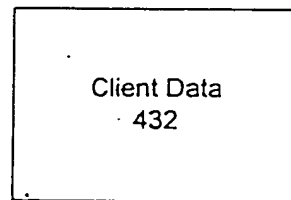
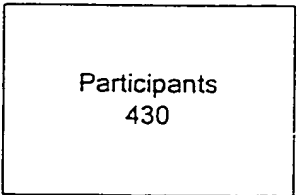
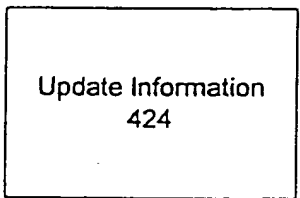
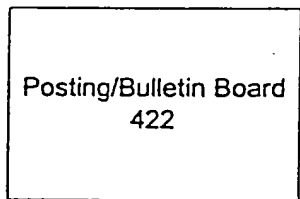
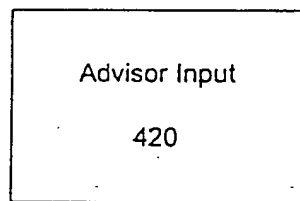
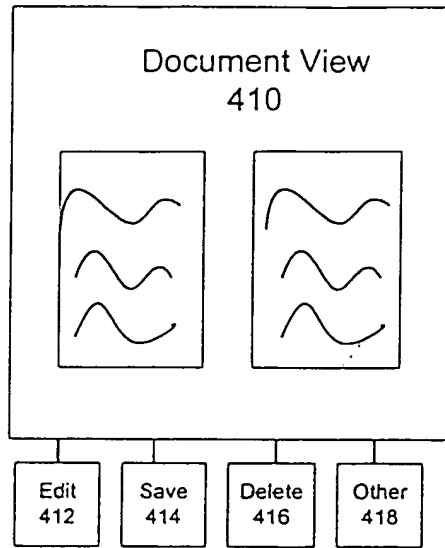


FIG. 4

THE UNIVERSITY OF CHICAGO

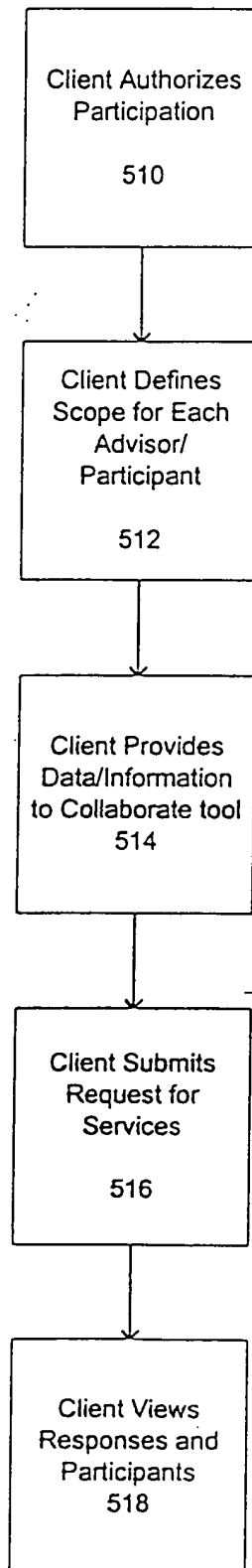


FIG. 5

102090" 92622860

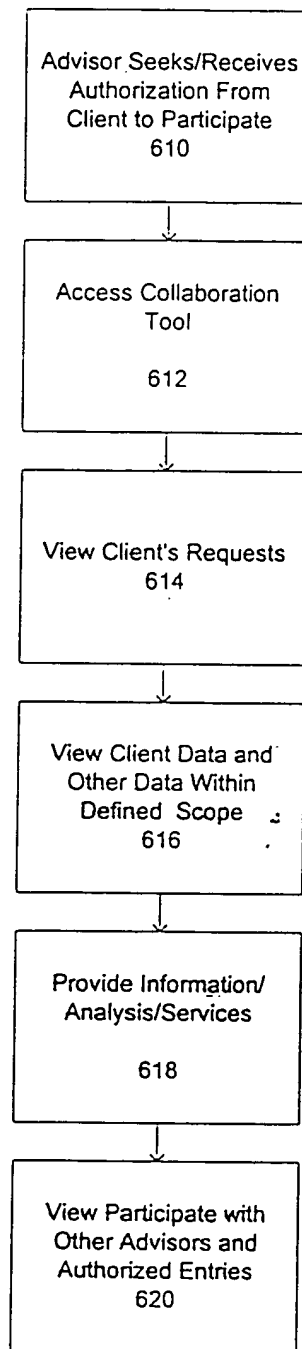


FIG. 6

Please Fill in the following (all are required). When you are done, press "Next" to continue.

- ☐ I am an **advisor** and would like to join the next generation of financial advisors.
- ☐ I am a **client** and would like to build my own powerful financial advisory team.

Personal Information about yourself:

	First Name
	Last Name
	Address
	City
	State
	Zip
	Phone Number
	Email Id

Next ~ 704

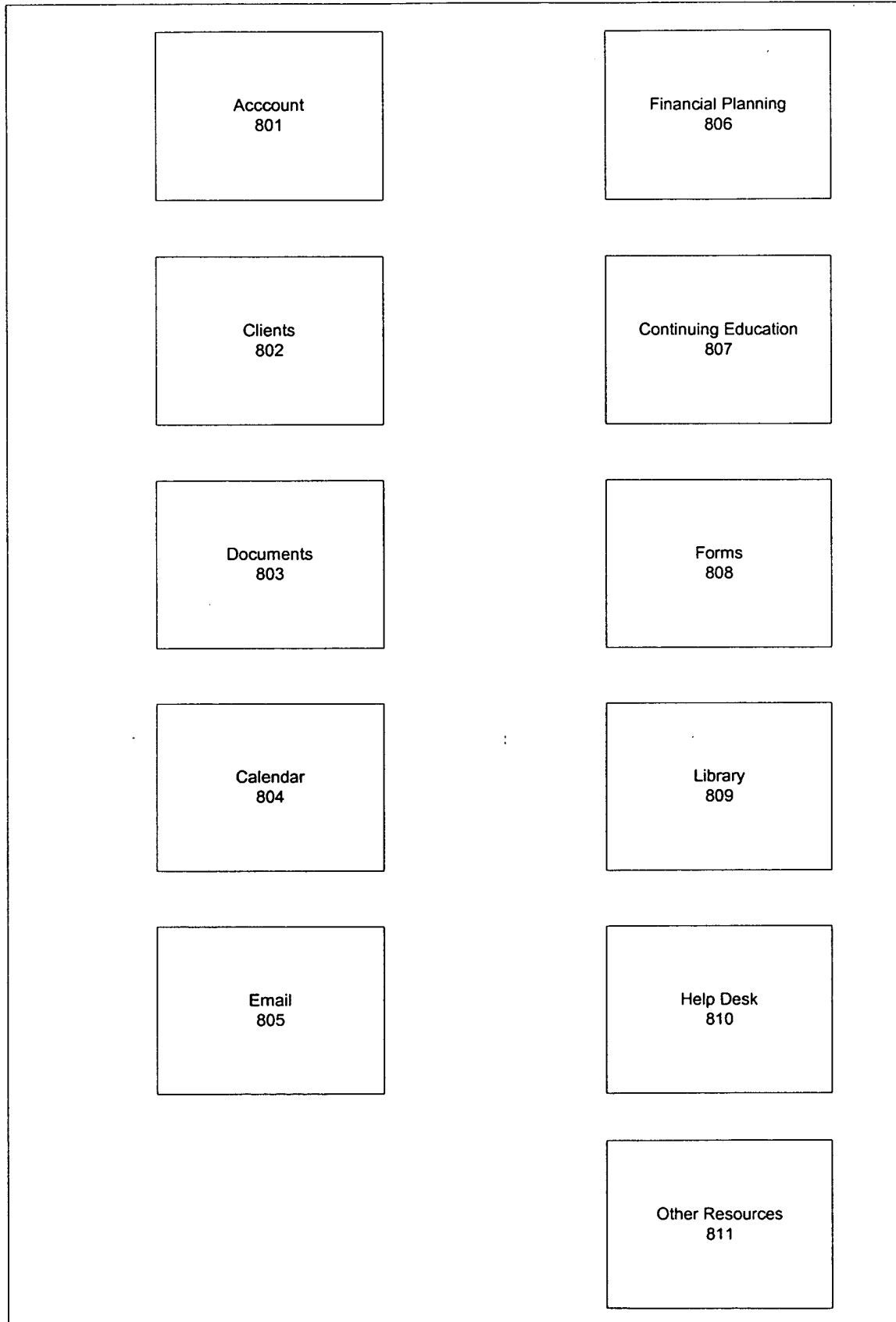


FIG. 8

FIG. 9

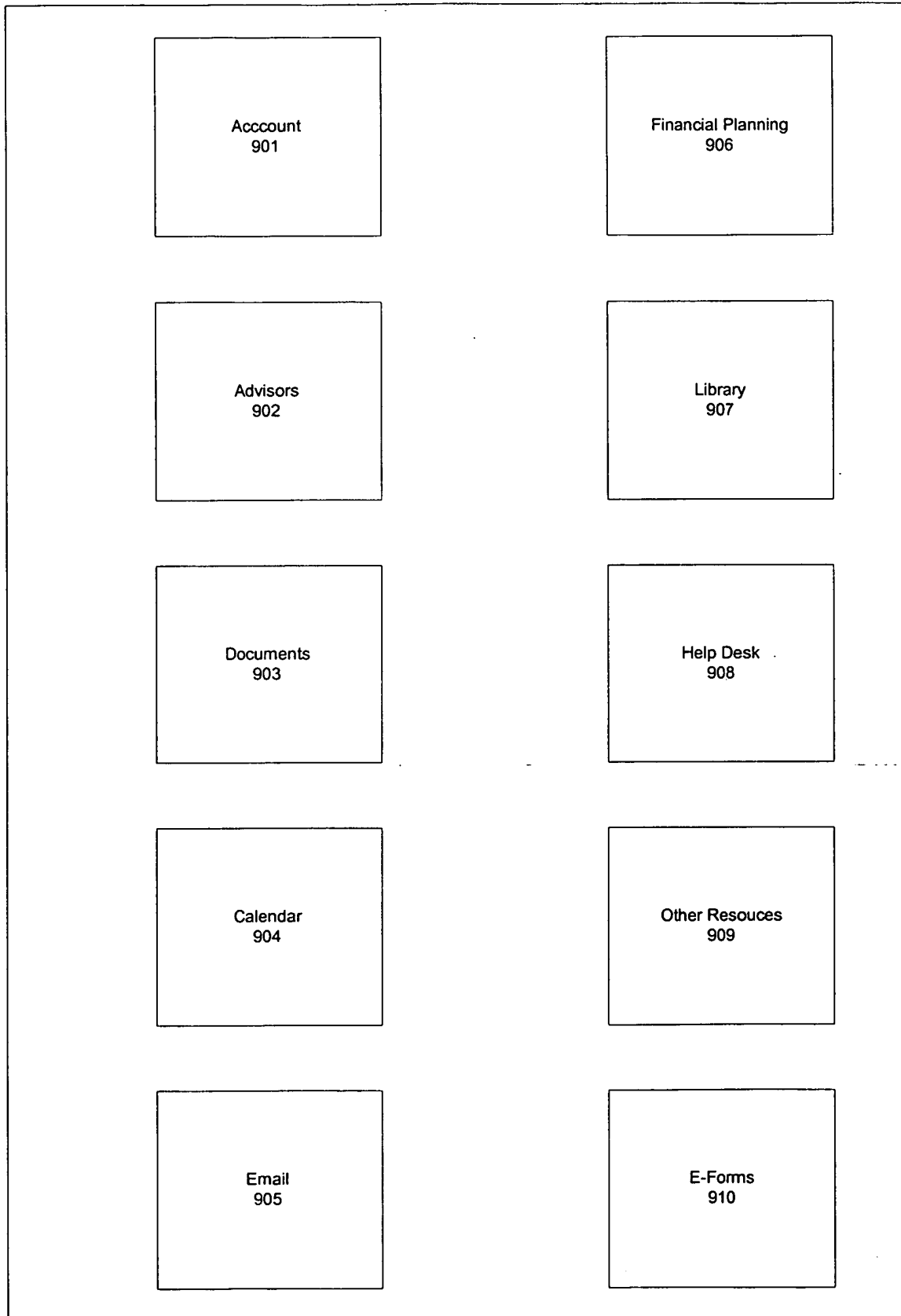


FIG. 9

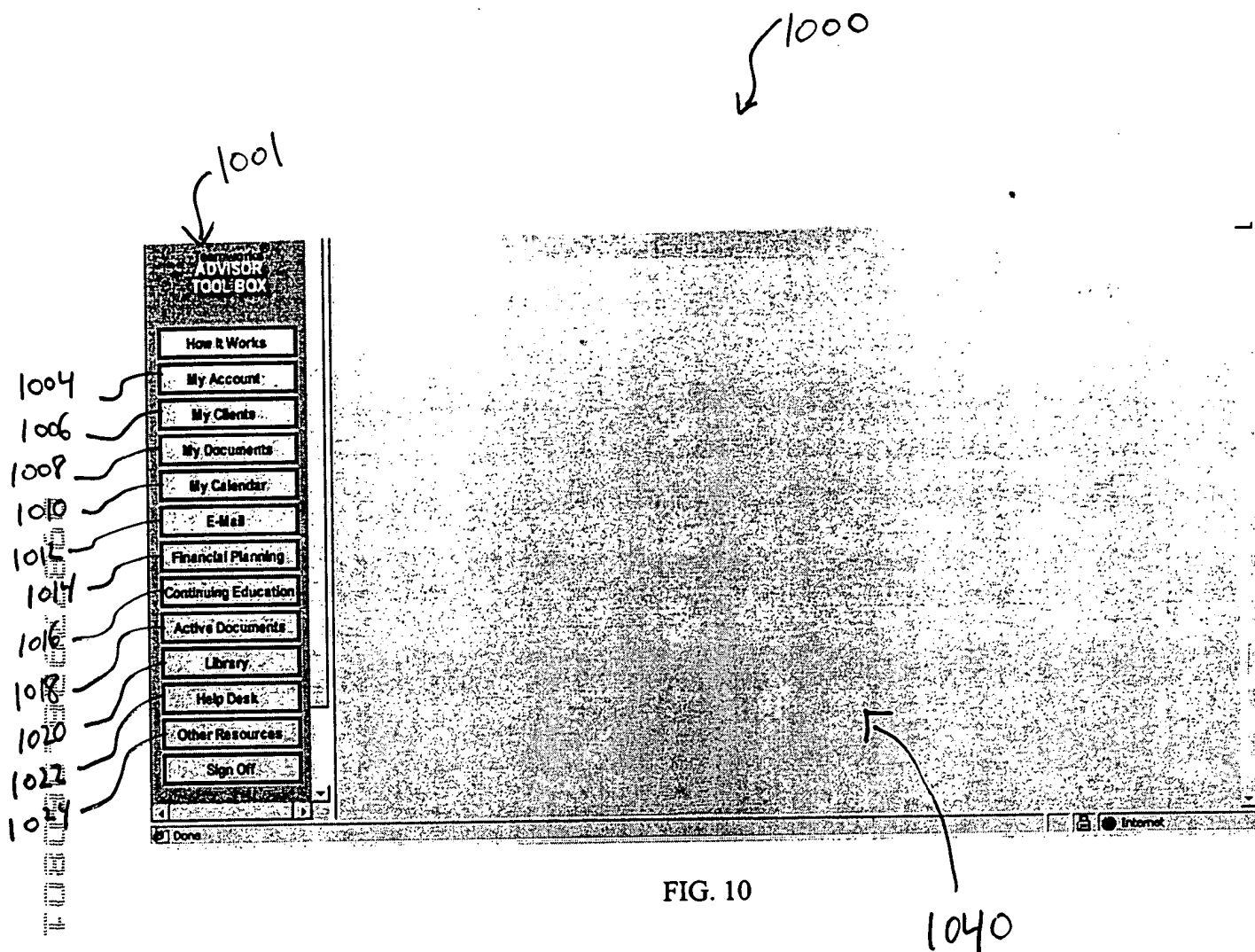


FIG. 10

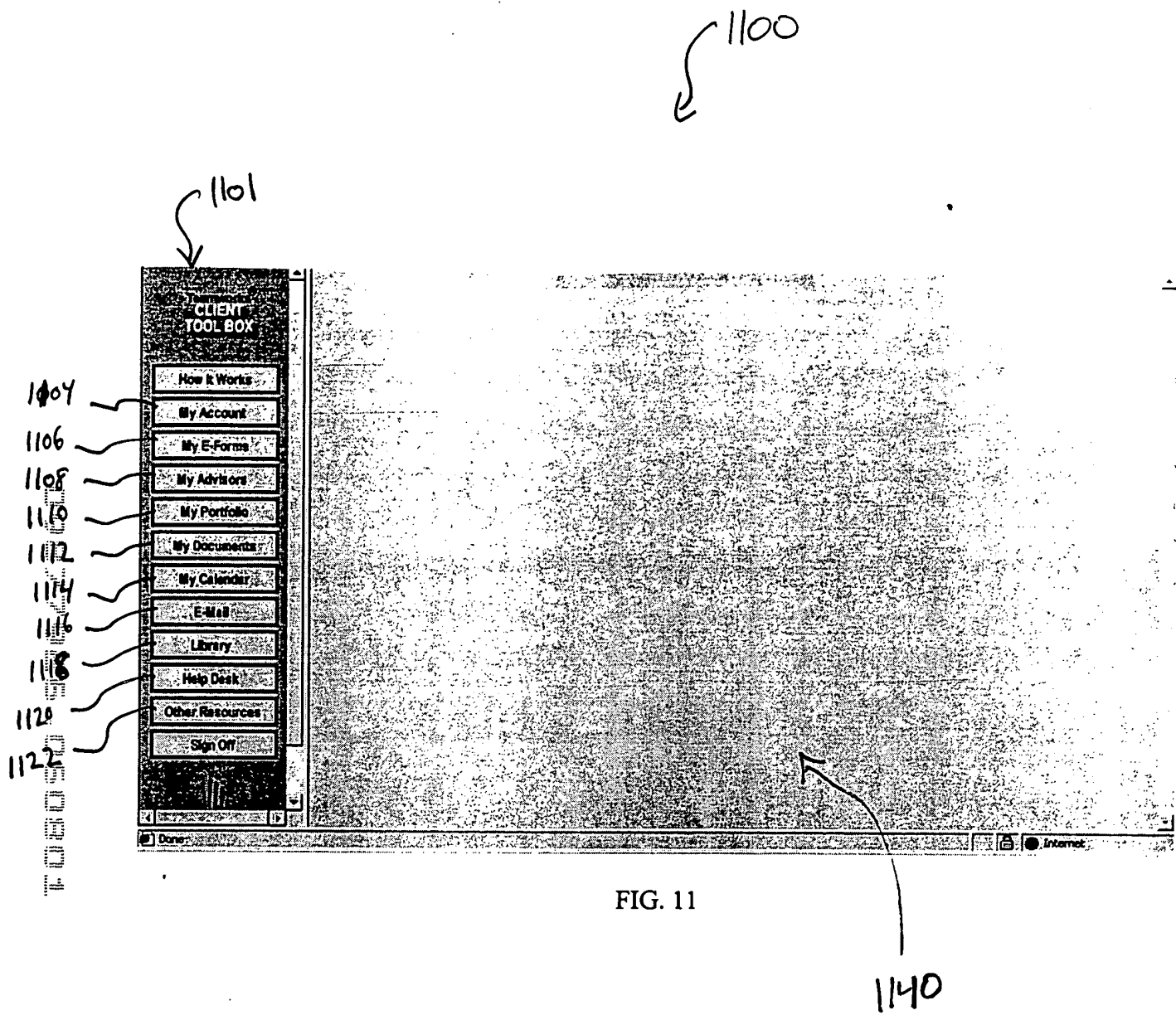


FIG. 11

093796-060304

1200

1201 1202 1203

1250

ADVISOR TOOL BOX

How It Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

My Clients

PHelp

Select	Login ID	Name	Access	Registered On	Last Signed On
<input type="checkbox"/>	1234	Suzanne Cuccurullo	R	5/1/2001 1:31:21 PM	5/1/2001 1:40:25 PM
<input type="checkbox"/>	mkende	Michael Kende	RWD	3/13/2001 6:04:13 PM	
<input type="checkbox"/>	rmac	Robert MacDonald	RWD	3/13/2001 6:02:05 PM	
<input type="checkbox"/>	paulrobb	Paul Robb	R	2/13/2001 5:19:10 AM	5/15/2001 11:28:42 AM
<input type="checkbox"/>	brosen67	Brian S Rosenbloom	R	5/18/2001 11:14:03 AM	5/19/2001 9:03:47 PM

1211 1213 1214 1215 1216 1217

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Suggestions & Comments

Internet

FIG. 12

[illegible]

1304

FIG. 13

09877926 06004

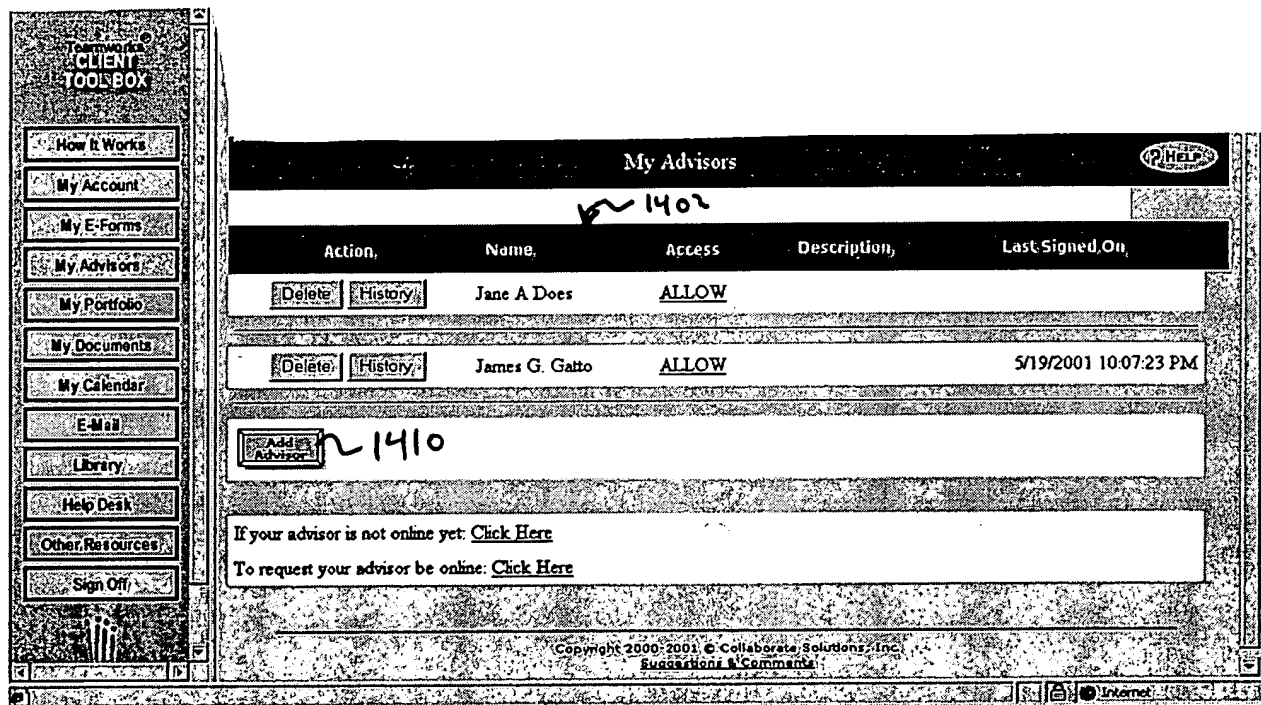


FIG. 14

Name Search:

Adviser's Name

1502

Specialty (select one or more optional)

☐

Attorney

☐

Accountant

.

.

☐

Financial Planner

State (optional)

City (optional)

1503
1504

1505

Search

1506

Specialty Search

Specialty (select one or more)

☐

Attorney

☐

Accountant

.

.

☐

Financial Planner

State (optional)

City (optional)

1507
1508

1509

Search

1511

FIG. 15

FORM 990 99999999

1600
↙

Name	Specialty	State	City	Description	Action
John Doe	Attorney	DC	Washington	AV Rated	ADD ~1602
Jane Does	Accountant	DC	Washington	CPA	ADD ~1602
John Doe	Financial Planner	DC	Washington		ADD ~1602

Done

BIBLIOGRAPHY

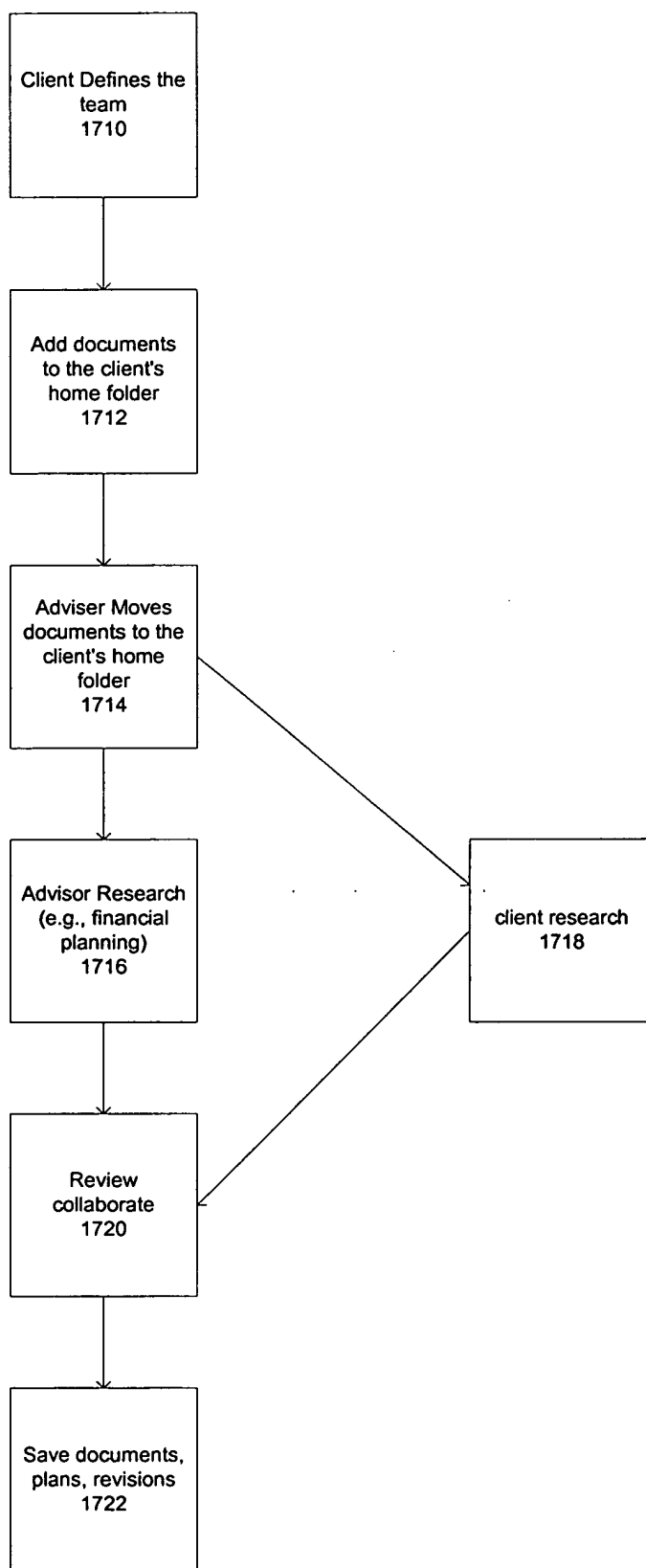


FIG. 17

Handwritten annotations above the toolbar:

- 1801 (pointing to New Folder)
- 1802 (pointing to New Document)
- 1803 (pointing to Delete)
- 1804 (pointing to Lock)
- 1805 (pointing to Unlock)
- 1806 (pointing to Permissions)
- 1807 (pointing to Search)

Handwritten annotation above the folder list:

- 1850 (pointing to My Documents)

Handwritten annotations next to documents:

- 1852(a) (next to folder 1)
- 1854(a) (next to test2)
- 1852(b) (next to test2a)
- 1854(b) (next to test2)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed By	Locked By
<input type="checkbox"/>	folder 1			RWD	5/18/2001 11:14am			
<input type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	
<input type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	

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Suggestions & Comments

1800

1801

1802

1803

1804

1805

1800

180

1850

1852(5)

1854(a)

1854(6)

1852(6)

Add Document

Folder to add document: My Documents

Select document to upload:

1902 ~~~~~> [Select File] [Remove File]

Document Name: ~~~~~< 1912

Email notification? ☒ ~~~~~< 1989

Comments

1914
↓

1990 ~~~~~>

[OK] [Cancel]

1910

1912

1989

1990

1914

FIG. 19

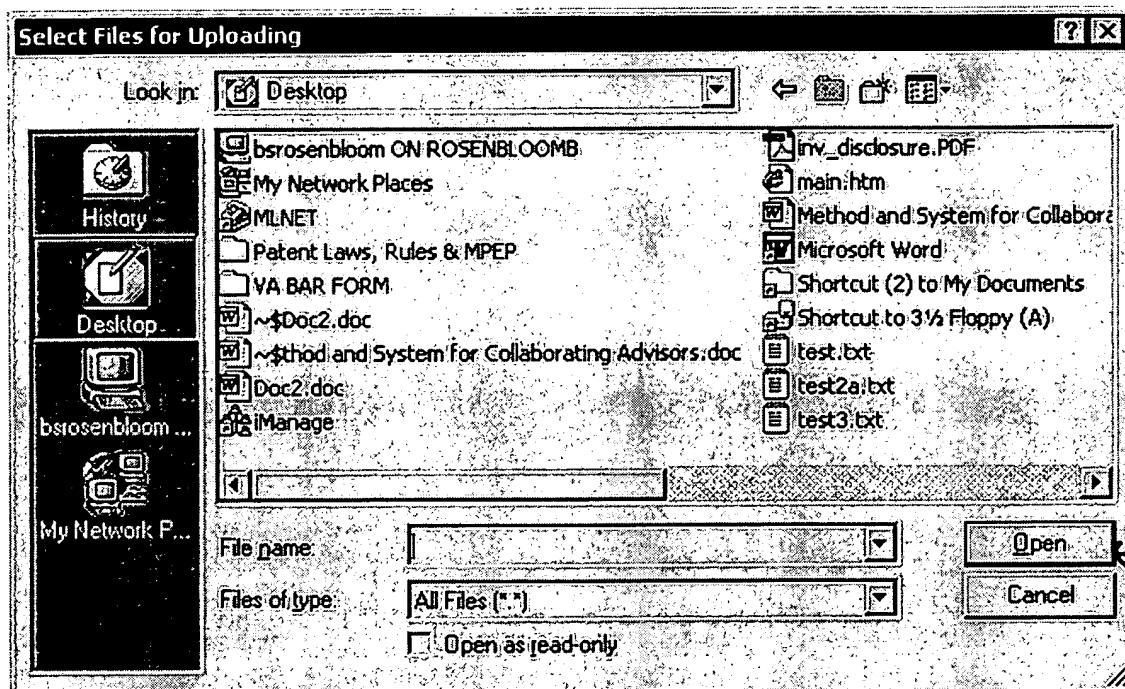


FIG. 20

0937926-06094
103090-926/2860

COPY TO FILE



Create Folder In My Documents

Folder Name

Comments:

2102

ONE

Cancel

FIG. 21

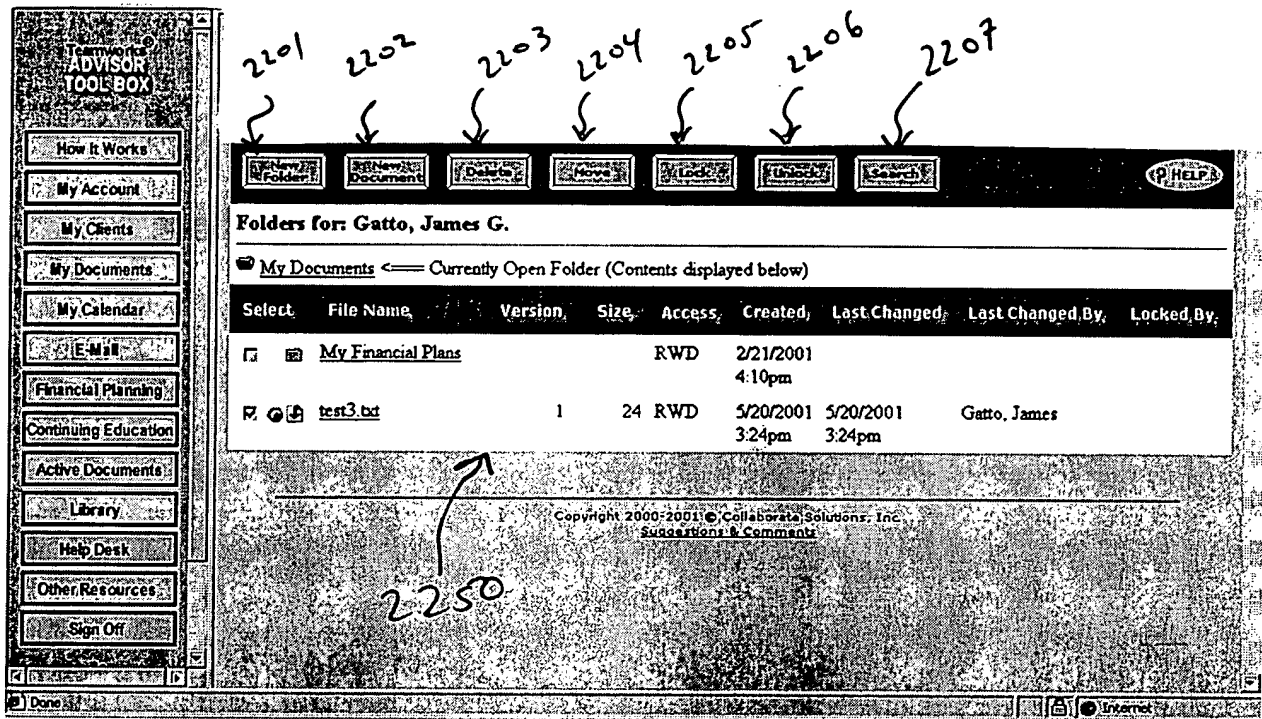


FIG. 22

Move Document(s)

HELP

Remember. Make sure the document you are moving is in a format that can be viewed by the intended recipients (see Help for more).

Select a folder to move document(s) to:

Advisor: Gatto, James (jgatto)

Folder: My Documents

Folder: My Financial Plans

Client: Kende, Michael (mkende)

Folder: My Documents

Client: MacDonald, Robert (rmac)

Folder: My Documents

Move

Cancel

Done

Internet

FIG. 23

2500

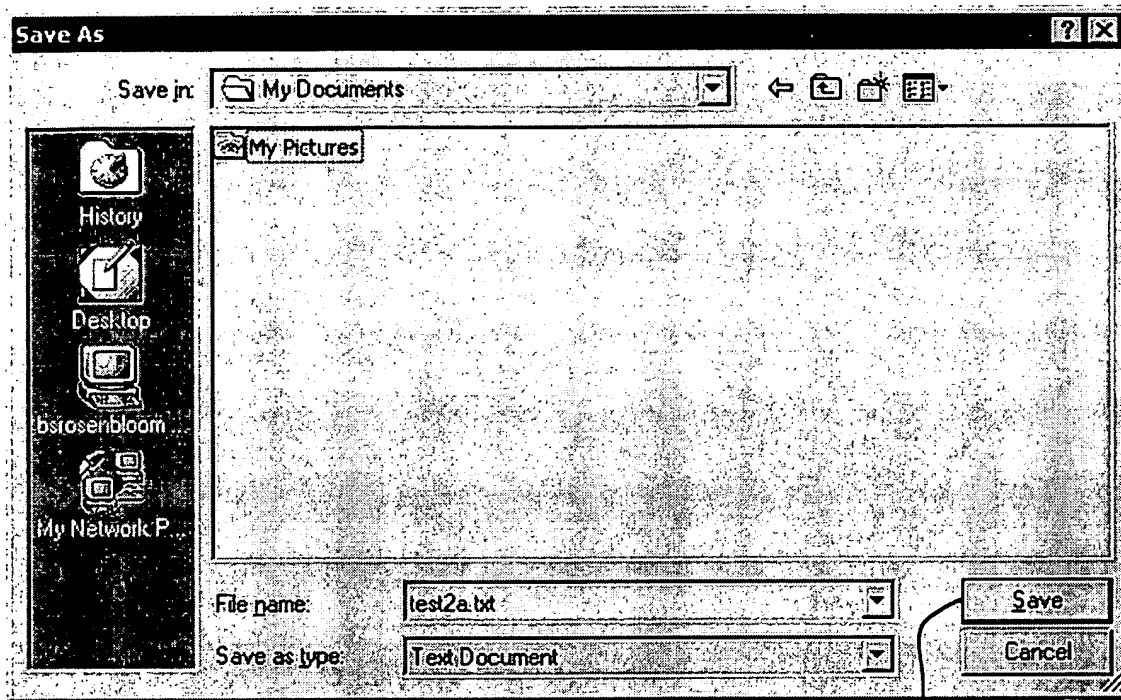


FIG. 25

2502

FIG. 25

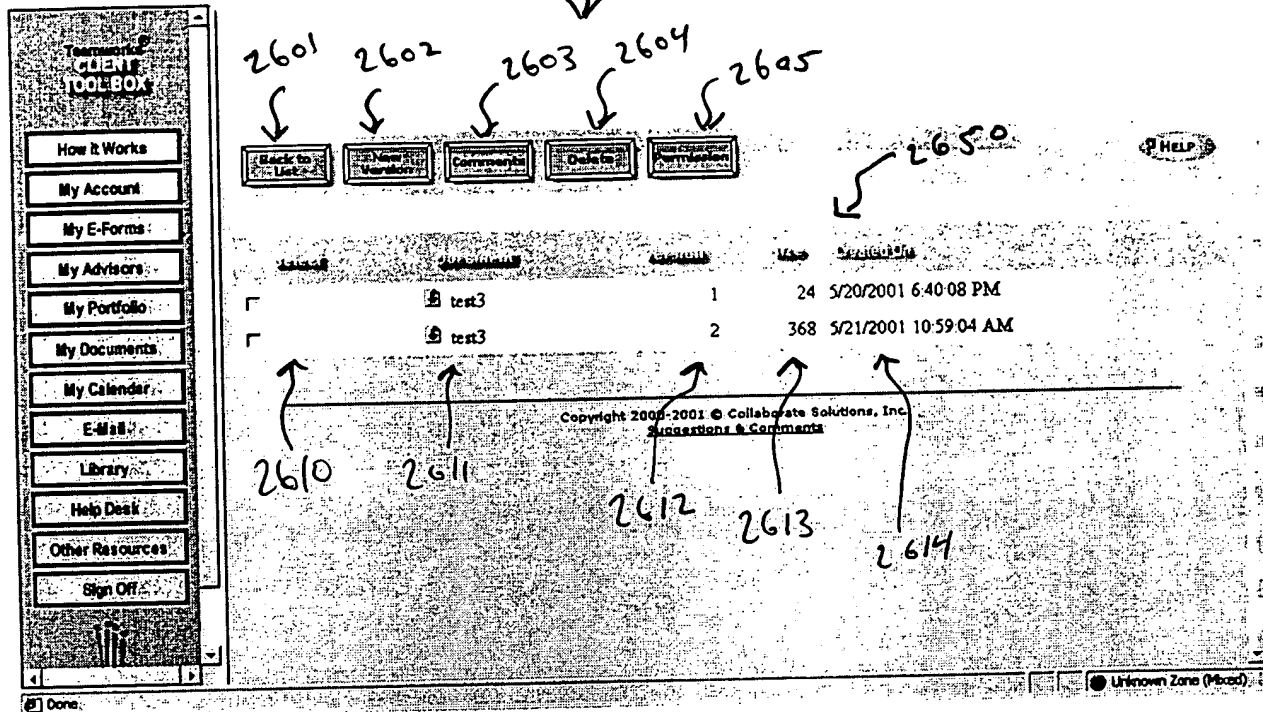


FIG. 26

Add Document Version

Document Name: test3

Help

Select document to upload:

Files Size

Select File

Remove File

Email notification?

☐

Comments

OK

Cancel

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Suggestions & Comments

Done

Internet

FIG. 27

2800

Document Comments

HELP

Document Name test3

Comments

2802

Save

Back

5/21/2001 10:59:04 AM	Brian S Rosenbloom	Document new version created
5/20/2001 6:40:08 PM	Brian S Rosenbloom	Document initially created

2810

Done

Internet

FIG. 28

Handwritten annotations in red ink:

- 2901, 2902, 2903, 2904, 2905, 2906 (above folder icons)
- 2951 (next to test2 folder)
- 2952 (next to test3 folder)
- 2950 (next to My Documents folder icon)

Select	File Name	Version	Size	Access	Created	Last Changed	Last Changed By	Locked By
<input type="checkbox"/>	folder 1			R	5/18/2001 11:14am			
<input type="checkbox"/>	test2	3	1	RWD	5/19/2001 8:58pm	5/19/2001 9:01pm	Gatto, James	Rosenbloom, Brian
<input type="checkbox"/>	test2a	1	8	RWD	5/19/2001 9:02pm	5/19/2001 9:02pm	Gatto, James	Rosenbloom, Brian
<input type="checkbox"/>	test3	4	367	RWD	5/20/2001 6:40pm	5/21/2001 3:16pm	Gatto, James	

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Suggestions & Comments



103030 9262360

ADVISOR TOOL BOX

How It Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

2601 2602 2603 2604

Back to List New Comments Defer

2600

Subject	Assessment	Score	Time	Completion
test3	1	24	5/20/2001 6:40:08 PM	
test3	2	368	5/21/2001 4:45:10 PM	

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Suggestions & Comments

Done Internet

FIG. 30

3106

THE UNIVERSITY OF CHICAGO

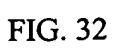


FIG. 32

3300

3302

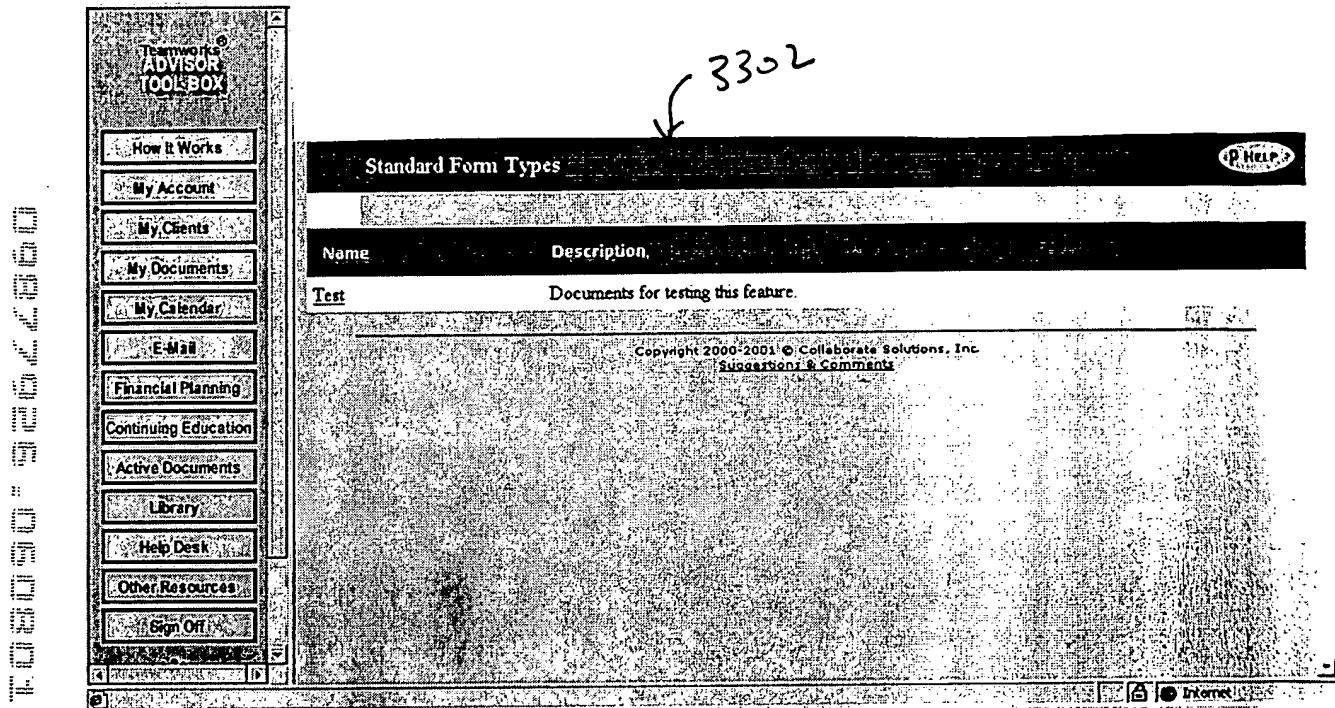


FIG. 33

06-29-87

FIG. 34

FIG. 34

3500

CLIENT TOOLBOX

- How It Works
- My Account
- My E-Forms
- My Advisors
- My Portfolio
- My Documents
- My Calendar
- E-Mail
- Library
- Help Desk
- Other Resources
- Sign Off

My Forms

Action	Name	Description	Author	Create Date	Last Modified
Edit Delete	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 12:52:36 PM

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Suggestions & Comments

3503
3502
3501
3504
3505
3506
3507

Done Unknown Zone (Mixed)

FIG. 35

[illegible]

3602

FIG. 36

3500

CLIENT TOOL-BOX

How It Works

My Account

My E-Forms

My Advisors

My Portfolio

My Documents

My Calendar

E-Mail

Library

Help Desk

Other Resources

Sign Off

My Forms

2 HELP

Action	Name	Description	Author	Create Date	Last Modified
Delete	Notice of Withdrawal Rights	Notice of Withdrawal Rights	James G. Gatto	5/22/2001 12:52:36 PM	5/22/2001 1:12:20 PM
Done					

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Suggestions & Comments

3702

3503

FIG. 37

3400

ADVISOR TOOLBOX

How It Works

My Account

My Clients

My Documents

My Calendar

E-Mail

Financial Planning

Continuing Education

Active Documents

Library

Help Desk

Other Resources

Sign Off

Standard Form Types

Help

Action	Name	Description	Owner	Create Date	Last Modified
Delete					
Edit	Notice of Withdrawal Rights	Notice of Withdrawal Rights	Brian S Rosenbloom	5/22/2001 12:52:36 PM	5/22/2001 1:15:20 PM
File					
Add	Notice of Withdrawal Rights		Suzanne Circunilla		

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Suggestions & Comments

3801 3802

FIG. 38

Additional Modules 3901

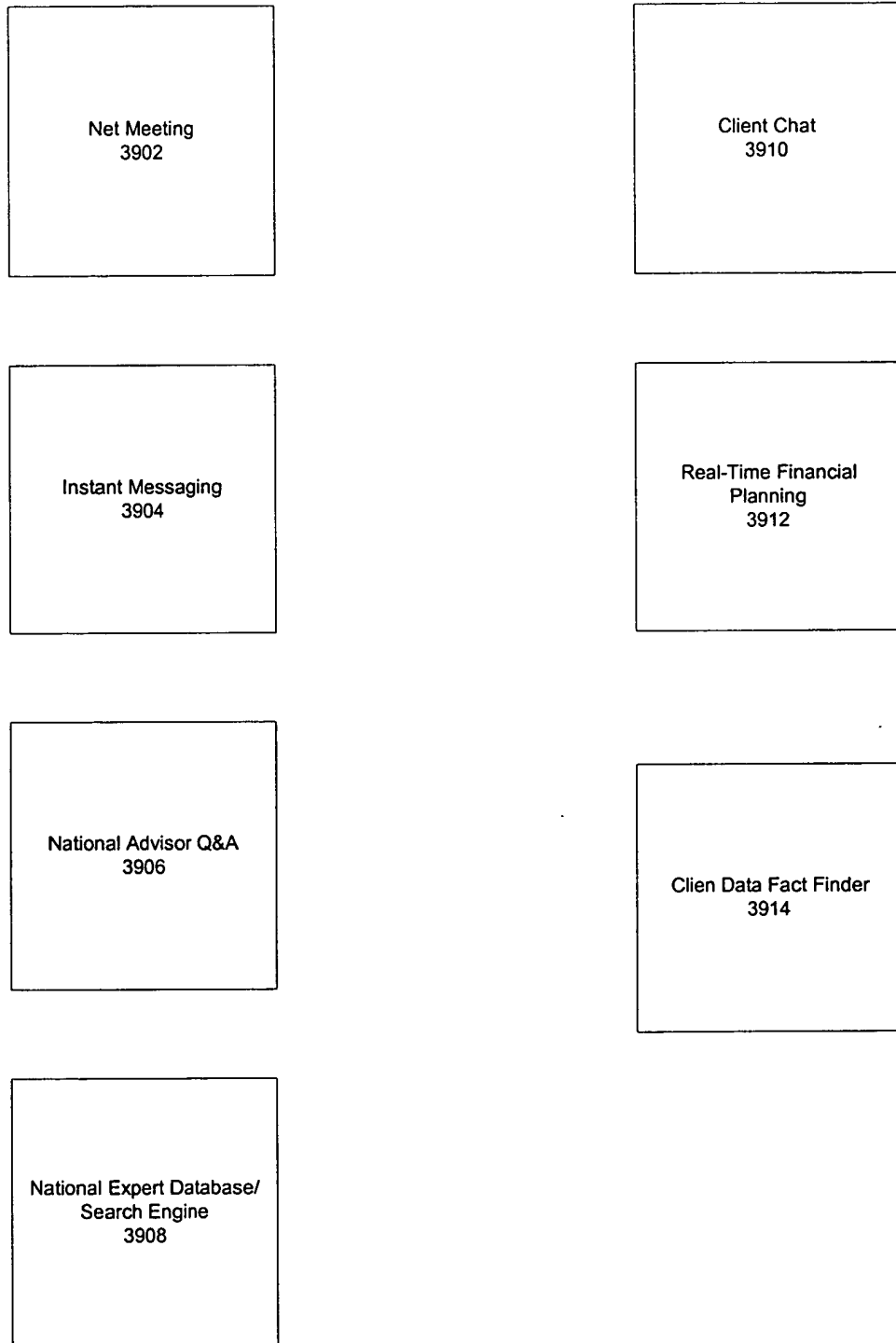


FIG. 39

100

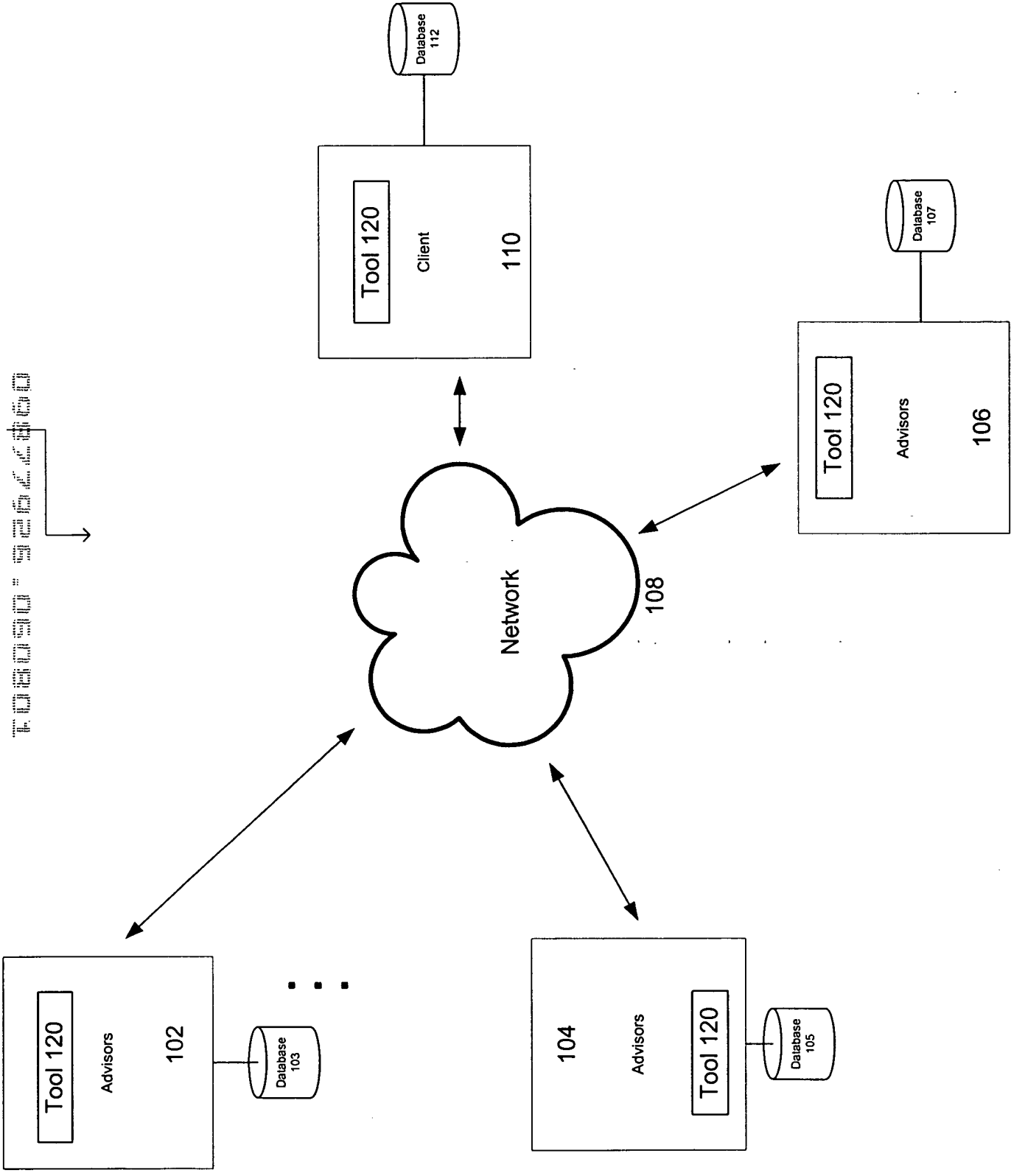


FIG. 40

FIG. 41

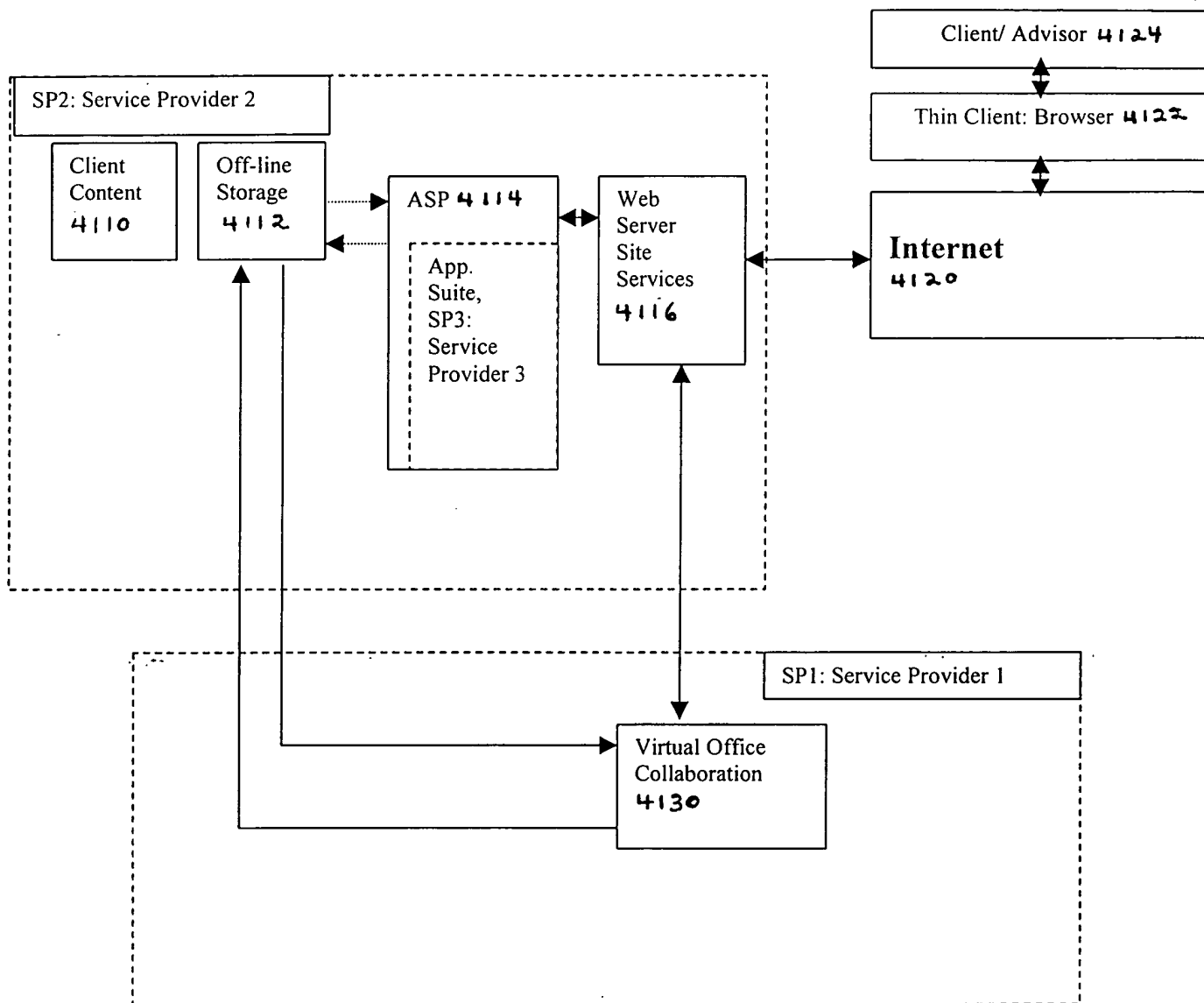


FIG. 41

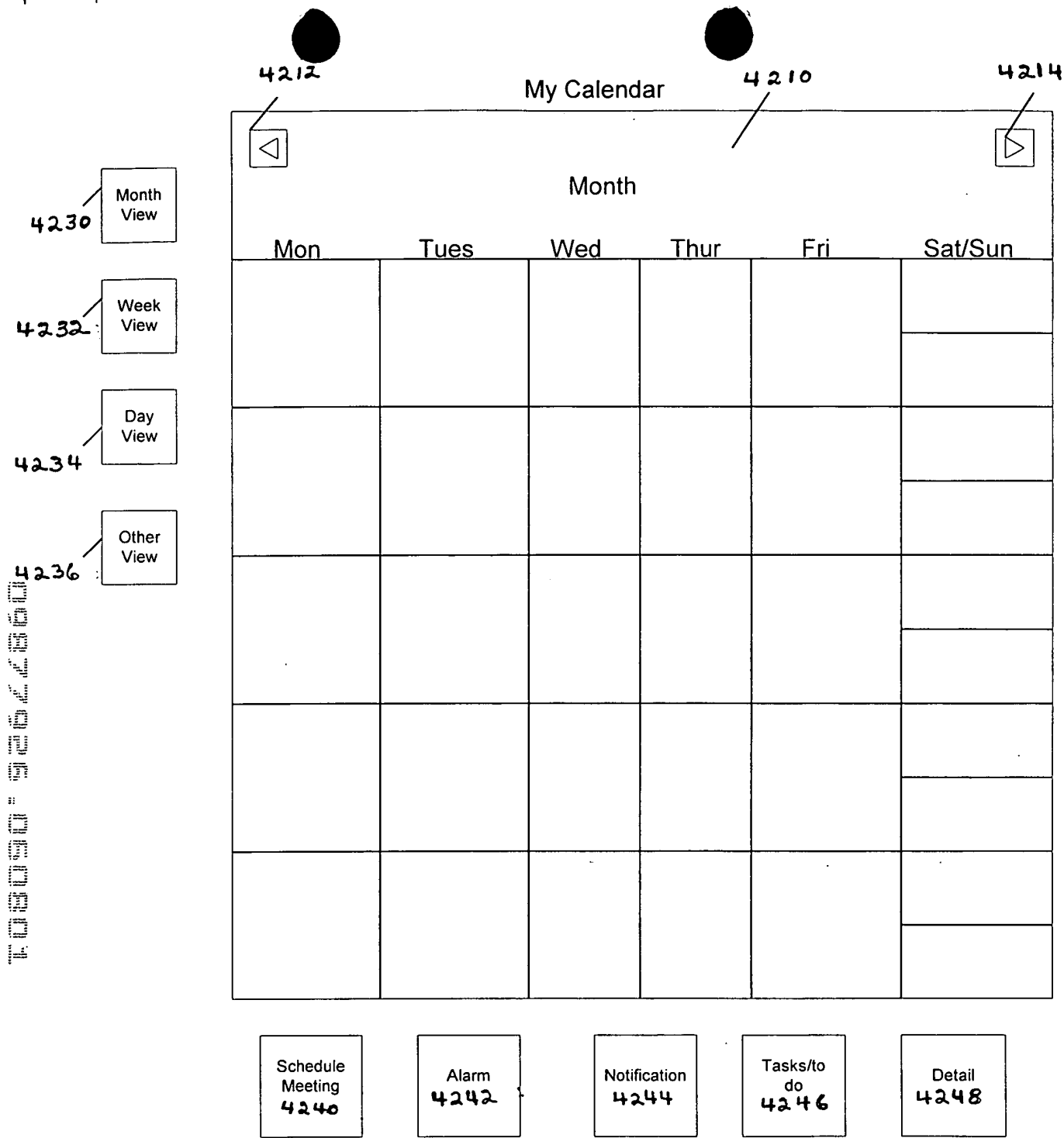


FIG. 42

4300

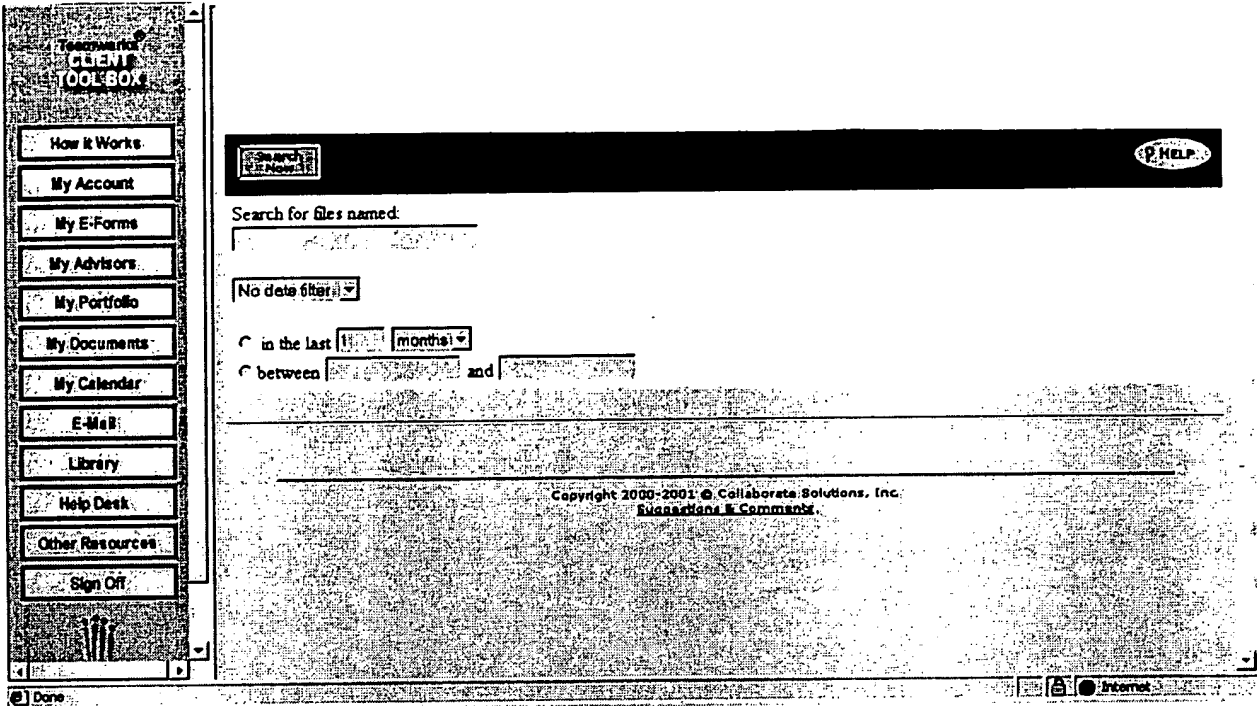


FIG. 43